

**INDIA CARBON LIMITED**

Format of Holding of Specified Securities

- 1 Name of the Listed entity : **INDIA CARBON LIMITED**
- 2 Scrip Code /Name of Scrip/ Class of Security CSE: 19205
- 3 Share Holding Pattern filed under Reg 31(1)(b) for Quarter ended 30-Sep-19
- 4 **DECLARATION** : The Listed Entity is required to submit the following declaration to the extent of Submission of information :

**Particulars**

- |   |   |    |
|---|---|----|
| 1 | Whether the Listed Entity has issued any partly paid up shares ?                        | NO |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants ?           | NO |
| 3 | Whether the Listed Entity has any shares against which Depository receipts are issued ? | NO |
| 4 | Whether the Listed Entity has any shares in locked-in ?                                 | NO |
| 5 | Whether any shares held by Promoters are pledged or otherwise encumbered ?              | NO |
| 6 | Whether the Listed entity has issued any differential voting rights?                    | NO |



**TABLE I : Summary Statement of holding of Specified Securities**

Category	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	
Category of Shareholder			No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying depository Receipts	Total No of shares held	Shareholding as a % of total no of shares (as a % of A+B+C2)	No of voting rights			No of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2)	Number of Locked in shares	Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	
									Class	Class	Total			As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)	
A	Promoter & Promoter Group	7	1311788	0	0	0	1311788	49.50	1311788	0	1311788	0	0	0	0	0.00	1311788
B	Public	2847	1338212	0	0	0	1338212	50.50	1253708	0	1253708	0	0	0	0	0.00	442861
C	Non Promoter - Non Public	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
C1	Shares underlying DRs	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
C2	Shares held by Employee Trusts	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Total		2854	2650000	0	0	0	2650000	100.00	2565496	0	2565496	0	0	0	0	0.00	1754649

Note : Promoter and Promoter Group shall have the same meaning as defined under SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009



**TABLE II : Statement showing Shareholding Pattern of Promoter and Promoter Group**

Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of shares held (VII=(IV+V+VI))	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No of voting rights		Number of Voting Rights held in each class of securities	Total as a % of total voting rights	No of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2) XI=(VII+X)	Number of Locked in shares	Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								Class X	Class Y							
<b>1 Indian</b>																
<b>(a) Individuals/HUF</b>																
a) RAKESH HIMATSINGKA		1	10445	0	0	10445	0.39	10445	0	10445	0.39	0	0.39	0	0	0.00
b) ANITA HIMATSINGKA		1	209615	0	0	209615	7.91	209615	0	209615	7.91	0	7.91	0	0.00	209615
c) MAALIKA HIMATSINGKA		1	24075	0	0	24075	0.94	24075	0	24075	0.94	0	0.94	0	0.00	24075
d) SONALI H MODI		1	36492	0	0	36492	1.38	36492	0	36492	1.38	0	1.38	0	0.00	36492
e) SHALBYA VEER HIMATSINGKA		1	237431	0	0	237431	8.96	237431	0	237431	8.96	0	8.96	0	0.00	237431
f) RIDDHINA SV HIMATSINGKA		1	92860	0	0	92860	3.50	92860	0	92860	3.50	0	3.50	0	0.00	92860
		<b>6</b>	<b>611818</b>	<b>0</b>	<b>0</b>	<b>611818</b>	<b>23.09</b>	<b>611818</b>	<b>0</b>	<b>611818</b>	<b>23.09</b>	<b>0</b>	<b>23.09</b>	<b>0</b>	<b>0.00</b>	<b>611818</b>
<b>(b) Central/State Governments</b>																
<b>(c) Financial Institutions/Banks</b>																
<b>(d) Any Other (specify)</b>																
<b>i) Bodies Corporate</b>																
Shree Stryam Orchid Estates Ltd.		1	609970	0	0	609970	26.41	609970	0	609970	26.41	0	26.41	0	0.00	609970
Sub-Total (A)(1)		<b>7</b>	<b>1311788</b>	<b>0</b>	<b>0</b>	<b>1311788</b>	<b>49.50</b>	<b>1311788</b>	<b>0</b>	<b>1311788</b>	<b>49.50</b>	<b>0</b>	<b>49.50</b>	<b>0</b>	<b>0.00</b>	<b>1311788</b>
<b>2 Foreign</b>																
<b>(a) Individuals (NRI/Foreign)</b>																
<b>(b) Government</b>																
<b>(c) Institutions</b>																
<b>(d) Foreign Portfolio Investor</b>																
<b>(e) Any other</b>																
Sub-Total (A)(2)		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
<b>Total Shareholding of Promoter and Promoter Group</b>		<b>7</b>	<b>1311788</b>	<b>0</b>	<b>0</b>	<b>1311788</b>	<b>49.50</b>	<b>1311788</b>	<b>0</b>	<b>1311788</b>	<b>49.50</b>	<b>0</b>	<b>49.50</b>	<b>0</b>	<b>0.00</b>	<b>1311788</b>
(A) = (A)(1) + (A)(2)		<b>7</b>	<b>1311788</b>	<b>0</b>	<b>0</b>	<b>1311788</b>	<b>49.50</b>	<b>1311788</b>	<b>0</b>	<b>1311788</b>	<b>49.50</b>	<b>0</b>	<b>49.50</b>	<b>0</b>	<b>0.00</b>	<b>1311788</b>

Note:

- PAN Would not be displayed on website of stock exchanges
- The term "encumbrance" has the same meaning as assigned under Regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011



TABLE III - Statement Showing Shareholding Pattern of Public shareholder

Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of shares held	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including	Total Shareholding as a % assuming full conversion of convertible securities (as a % of	Number of Locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
								Class	No of voting rights Class Total	Total as a % of total voting rights			(a)	(b)		
<b>1 Institutions</b>																
(a) Mutual Funds																
(b) Venture Capital Funds																
(c) Alternate Investment Funds																
(d) Foreign Venture Capital Investors																
(e) Foreign Portfolio Investors																
(f) Financial institutions/banks		7	414	0	0	414	0.02	414	0	414	0.02	0	0	0	0	231
(g) Insurance Companies		5	14769	0	0	14769	0.56	14769	0	14769	0.58	0	0	0	0	14472
(h) Provident/Pension Funds																
(i) Any Other (Specify)																
Sub-Total (0)(1)		12	15183	0	0	15183	0.57	15183	0	15183	0.591300795	0	0	0	0	14703
<b>2 Central/State Government/ President of India</b>																
Sub-Total (0)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0
<b>3 Non-Institutions</b>																
(a) Individual shareholders holding nominal share capital upto Rs.2 Lakhs		2737	207008	0	0	207008	7.81	207008	0	207008	7.81	0	0	0	0	112259
(b) Individual Shareholders holding nominal share capital in excess of Rs 2 Lakhs		4	156671	0	0	156671	5.91	156671	0	156671	5.91	0	0	0	0	156671
(c) HFCs registered with RBI		2741	363671	0	0	363671	13.72	363671	0	363671	13.72	0	0	0	0	268930
(d) Employee Trusts																
(e) Overseas Depositories																
(f) Any other																
Hodds Corporate		46	56374	0	0	56374	2.13	56374	0	56374	2.13	0	0	0	0	54334
(i) Cadow Calong LLC		1	812377	0	0	812377	30.66	812377	0	812377	30.66	0	0	0	0	14517
Non Resident/Indian		47	888751	0	0	888751	32.78	888751	0	888751	32.78	0	0	0	0	688651
Investor Education & Protection Fund		45	5970	0	0	5970	0.23	5970	0	5970	0.23	0	0	0	0	5900
Trust		1	84504	0	0	84504	3.19	84504	0	84504	3.19	0	0	0	0	84504
Sub-Total (0)(3)		1	133	0	0	133	0.01	133	0	133	0.01	0	0	0	0	133
Sub-Total (0)(3)		2835	1323029	0	0	1323029	5.0	1298325	0	1298325	4.7	0	0	0	0	428158
Total Public Shareholding		2847	1338212	0	0	1338212	50.50	1293708	0	1293708	47.39	0	0	0	0	442801

Details of the shareholders acting as persons in Concert including their Shareholding (No and %):

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.:



**TABLE IV : Statement showing Shareholding Pattern of Non Promoter - Non Public Shareholder**

1. Custodian/DR Holder (a) Name of DR Holder	(i)	(ii)	(iii)	(iv)	(v)	(vi)	VII = (iv+v+vi)	(viii)	No of voting rights		Total as a % of total voting rights	(x)	xi	(xiii)	(xiii)	(xiv)
									Class X	Class Y						
2. Employee Benefit Trust (under SEBI Share based employee Benefit) Regulations, 2014																
(a) Name																
<b>Total non Promoter-non-Public</b>																
Shareholding (C)=(C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note :

Form BEN-2 (Return to the Registrar in respect of declaration under Section 90), is likely to be made available for filing on the portal w.e.f 2nd July 2019. Stakeholders may please take note and plan accordingly keeping in view Ministry's General Circular No: 07/2018 dated 6th September 2018.



Table V - Statement showing details of significant beneficial owners (SBOs)

Name of the Company: India Carbon Limited

Quarter ended: 30.09.2019

Face Value 10.00

Sr. No.	Details of the Significant Beneficial Owner (SBO)	Details of the Registered Owner			Details of holding/ exercise of right of the SBO in the reporting Company, whether direct or indirect *					Date of creation/ acquisition of significant beneficial interest #
		Name	Nationality	Name	Nationality	Shares %	Voting Rights %	Rights on Dividend or any other Distribution	Exercise of Control	
1	Mr. Rakesh Himatsingka	Indian	Direct Holding		0.39	0.39	0.39	YES	YES	
			Shree Siyam Orchid Estates Ltd.		0.06	-	NO	YES	YES	
2	Mrs. Anita Himatsingka	Indian	Direct Holding		7.91	7.91	7.91	YES	YES	
			Shree Siyam Orchid Estates Ltd.		13.36	-	NO	YES	YES	
			Subama Plantation & Trading Co. Ltd.		0.01	-	NO	YES	YES	
3	Mr. Shaurya Veer Himatsingka	Indian	Direct Holding		8.96	8.96	8.96	YES	YES	
			Shree Siyam Orchid Estates Ltd.		12.68	-	NO	YES	YES	
4	Rakesh Kumar Shaurya Veer HUF		Shree Siyam Orchid Estates Ltd.		0.05	-	NO	YES	YES	
			Subama Plantation & Trading Co. Ltd.		0.01	-	NO	YES	YES	
5	Mrs. Riddhima S V Himatsingka	Indian	Direct Holding		3.50	3.50	3.5	YES	YES	
			Shree Siyam Orchid Estates Ltd.		0.06	-	NO	YES	YES	
6	Ms. Maalika Himatsingka	Indian	Direct Holding		0.94	0.94	0.94	YES	YES	
			Shree Siyam Orchid Estates Ltd.		0.06	-	NO	YES	YES	
7	Mrs. Sonal H Modi	Indian	Direct Holding		1.38	1.38	1.38	YES	YES	
			Shree Siyam Orchid Estates Ltd.		0.05	-	NO	YES	YES	
8	Mr. William Ingraham Koch	Indian	Oxbow calculating LLC		30.65	-	NO	YES	YES	

\* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

